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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Chan et al.  
Serial No. Not yet assigned  
Filed April 2, 2004  
Confirmation No. Not yet assigned  
For PUBLIC KEY INFRASTRUCTURE SCALABILITY CERTIFICATE REVOCATION  
STATUS VALIDATION

April 2, 2004

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**INFORMATION DISCLOSURE STATEMENT**

\* In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. In accordance with the OG notice of August 5, 2003 partially waiving the requirements of 37 C.F.R. 1.98(a)(2)(i), copies of the U.S. patent documents are not supplied. Applicants submit herewith copies of the literature references.

Respectfully submitted,



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| <b>PTO/SB/08A</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    |   | <b>Complete if Known</b> |                     |
|  |   |    |   | Application Number       | Not yet assigned    |
|  |   |    |   | Filing Date              | April 2, 2004       |
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|  |   |    |   | First Named Inventor     | Kok Wai Chan        |
|  |   |    |   | Group Art Unit           | Not yet assigned    |
|  |   |    |   | Examiner Name            | Not yet assigned    |
| Sheet  | 1 | of | 1 | Attorney Docket No.      | MS#307521.01 (5107) |

| U.S. PATENT DOCUMENTS                       |                          |  |  |  |  |
|---|--------------------------|--|--|--|--|
| Examiner<br>Initials*                       | Cite<br>No. <sup>1</sup> | U.S. Patent Document   |  | Name of Patentee or Applicant of<br>Cited Document | Date of Publication of Cited<br>Document<br>MM-DD-YYYY |
|   |                          | Number   | Kind<br>Code <sup>2</sup><br>(if<br>known) |  |  |
|   | 1                        | 5,757,920  |  | Misra et al.                                       | 05/26/1998   |
| OTHER ART - NON PATENT LITERATURE DOCUMENTS |                          |  |  |  |  |
| Examiner<br>Initials*                       | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  | T <sup>6</sup>   |
|   | 2                        | WOHLMACHER, "Digital Certificates: A Survey of Revocation Methods," 2000, ACM Multimedia Workshop, pp. 111-114, California   |  |  |  |
|   | 3                        | ILIADIS et al., "Evaluating Certificate Status Information Mechanisms," Proceedings of the 7th ACM Conference on Computer and Communications Security, 2000, pp. 1-8, ACM Press, New York, USA   |  |  |  |
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|   | 5                        | AIELLO et al., "Origin Authentication in Interdomain Routing," Proceedings of the 10th ACM Conference on Computer and Communication Security, 2003, pp. 165-178, ACM Press, New York, USA  |  |  |  |

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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

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